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DLW  
/

DOCKET NO.: 8971-039-27 DIV

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/811,129  
Applicant(s): DAVID G. WHITTEN, ET AL.  
Filing Date: MARCH 29, 2004  
For: FLUORESCENT POLYMER-QTL APPROACH TO  
BIOSENSING  
Group Art Unit: 1641  
Examiner: GARY W. COUNTS

SIR:

Attached hereto for filing are the following papers:

FEE TRANSMITTAL  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PTO FORM 1449

Our check in the amount of \$180.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY US LLP

  
\_\_\_\_\_  
John K. Pike, Ph.D.  
Registration No.: 41,253



# **FEE TRANSMITTAL FY 2005**

Docket No.	8971-039-27 DIV
Serial No.	10/811,129
Filing Date	March 29, 2004
Inventor(s)	David G. WHITTEN, et al.
Group Art Unit	1641
Examiner	Gary W. Counts

**TOTAL AMOUNT OF PAYMENT** \$180.00

1. ☐ Applicant claims small entity status.
- ☐ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)				

### **FEE CALCULATION**

#### **1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description		Fee Paid	
Code	Fee \$	Code	Fee \$				
1051	130	2051	65	Surcharge-late filing fee or oath			
1053	130	1053	130	Non-English Specification			
1251	120	2251	60	1-mo. ext. of time			
1252	450	2252	225	2-mo. ext. of time			
1253	1020	2253	510	3-mo. ext. of time			
1254	1590	2254	795	4-mo. ext. of time			
1255	2160	2255	1080	5-mo. ext. of time			
1401	500	2401	250	Notice of Appeal			
1402	500	2402	250	Appeal Brief			
1403	1000	2403	500	Request for Oral			
1501	1400	2501	700	Utility/Reissue Issue			
1504	300	1504	300	Publication Fee			
<b>SUBTOTAL</b>				<b>\$0.00</b>			

#### **2. EXTRA CLAIM FEES**

tot. claims	-	20*	=	0	x	\$25	=	0	1806	180	1806	180	IDS Submission	180
ind. claims	-	3*	=	0	x	\$100	=	0	8021	40	8021	40	Assignment Recordation	
<input type="checkbox"/> Multiple Dependent Claims						\$180	=		1801	790	2801	395	For Filing RCE	
<b>SUBTOTAL</b>				<b>0</b>					1814	130	2814	65	Terminal Disclaimer	

#### **3. APPLICATION SIZE FEES**

Total # of Sheets		# of Extra Sheets		Other:										
-	100	=	0											
# of each additional 50 sheets or fraction thereof (round up)		Fee		Total Fee Due									<b>SUBTOTAL</b>	<b>180</b>
x	\$125	=	0											

Name	John K. Pike, Ph.D.	Registration No.		41,253	
Signature		Date	July 20, 2005	Telephone	202-861-3900
Name		Registration No.			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

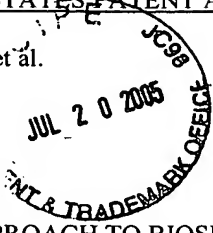
IN RE APPLICATION: David G. WHITTEN, et al.

GROUP ART UNIT: 1641

SERIAL NUMBER: 10/811,129

EXAMINER: Gary W. Counts

FILED: March 29, 2004



FOR: FLUORESCENT POLYMER -QTL APPROACH TO BIOSENSING

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- ☐ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- ☐ Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- ☐ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☐ with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- ☐ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

07/21/2005 HALI11 00000085 10811129

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180.00 OP

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO. 8971-039-27 DIV		SERIAL NO. 10/811,129	
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)		APPLICANT David G. WHITTEN, et al.					
		FILING DATE March 29, 2004				GROUP ART UNIT 1641	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,589,731	07/08/03	Chen, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES      NO	
	AK						
	AL						
	AM						
	AN						
	AO						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AP						
	AQ						
	AR						
	AS						
EXAMINER					DATE CONSIDERED		
*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							

